

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2 0 1 8	0 6	0 6

 to

YYYY	MM	DD
2 0 1 8	1 2	3 1

 Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

 Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name

IANTOMASI

Given Name(s)

ARLENE

Office for which the candidate sought election

COUNCILLOR

Ward name or no. (if any)

1

Municipality

BURLINGTON

Spending Limit - General

~~\$ 21,619.20~~ 21,796.⁸⁵ *af*

Spending Limit - Parties and Other Expressions of Appreciation

 \$ 2,179.69 *af*
 I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, ARLENE IANTOMASI, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

March 25/19.

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)

2019/03/26

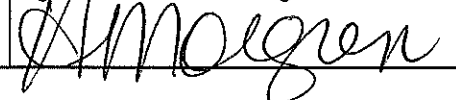
Time Filed

11:43 a.m.

Initial of Candidate or Agent (if filed in person)



Signature of Clerk or Designate



Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution CIBC
Amount borrowed \$ 2,000.00

INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	<u>17,369.91</u>
Revenue from items \$25 or less	+ \$	<u> </u>
Sign deposit refund	+ \$	<u> </u>
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	<u> </u>
Interest earned by campaign bank account	+ \$	<u> </u>
Other (provide full details)		
1. <u> </u>	+ \$	<u> </u>
2. <u> </u>	+ \$	<u> </u>
3. <u> </u>	+ \$	<u> </u>
4. <u> </u>	+ \$	<u> </u>
5. <u> </u>	+ \$	<u> </u>
Total Campaign Income (Do not include loan)	= \$	<u>17,369.91</u> C1

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	<u> </u>
Advertising	+ \$	<u>2,969.64</u>
Brochures/flyers	+ \$	<u>2,435.38</u>
Signs (including sign deposit)	+ \$	<u>2,564.70</u>
Meetings hosted	+ \$	<u>773.66</u>
Office expenses incurred until voting day	+ \$	<u>143.98</u>
Phone and/or internet expenses incurred until voting day	+ \$	<u> </u>
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	<u> </u>
Bank charges incurred until voting day	+ \$	<u>71.83</u>
Interest charged on loan until voting day	+ \$	<u>6.08</u>
Other (provide full details)		
1. <u>Robocall</u>	+ \$	<u>339.00</u>
2. <u>Website and marketing</u>	+ \$	<u>5,781.76</u>
3. <u>Flier distribution</u>	+ \$	<u>576.30</u>
4. <u>Promotional</u>	+ \$	<u>1,701.33</u>
5. <u>Parking</u>	+ \$	<u>6.25</u>
Total Expenses subject to general spending limit	= \$	<u>17,369.91</u> C2

EXPENSES**Expenses subject to spending limit for parties and other expressions of appreciation**

1. <u> </u>	+ \$	<u> </u>
2. <u> </u>	+ \$	<u> </u>
3. <u> </u>	+ \$	<u> </u>
4. <u> </u>	+ \$	<u> </u>
5. <u> </u>	+ \$	<u> </u>
Total Expenses subject to spending limit for parties and other expressions of appreciation	= \$	<u> </u> C3

Expenses not subject to spending limits

Accounting and audit	+ \$	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	
Office expenses incurred after voting day	+ \$	
Phone and/or internet expenses incurred after voting day	+ \$	
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	
Bank charges incurred after voting day	+ \$	
Interest charged on loan after voting day	+ \$	
Expenses related to recount	+ \$	
Expenses related to controverted election	+ \$	
Expenses related to compliance audit	+ \$	
Expenses related to candidate's disability (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
Other (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
Total Expenses not subject to spending limits	= \$	C4

Total Campaign Expenses (C2 + C3 + C4) = \$ 17,369.91 C5

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 - C5)	+ \$	0.00	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
Total (D1 - D2)	= \$		
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$		
Surplus (or deficit) for the campaign	= \$	0.00	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 - Contributions

Part I – Summary of Contributions

Contributions in money from candidate and spouse	+ \$ 7,069.91
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$ _____
Total value of contributions not exceeding \$100 per contributor	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ _____
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2)	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ 10,300.00
Less: Contributions returned or payable to the contributor	– \$ _____
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	– \$ _____
Total Amount of Contributions (record under Income in Box C)	= \$ 17,369.91 1A

Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
Lawrence Paron	720 Mountain Brow Rd. W. Burlington ON L7P 5A3	2018/07/30	1,200.00	
Lana Paron	720 Mountain Brow Rd. W. Burlington ON L7P 5A3	2018/07/30	800.00	
Louise Cook	104 Fairwood Pl. W. Burlington ON L7T 4B6	2018/08/21	500.00	
Stephen Marsh	819 Long Dr. Burlington ON L7T 3J8	2018/08/15	250.00	
Joyce Paron	44 Atkinson Blvd Dundas ON L9H 7A7	2018/08/15	1,200.00	
Dennis Callaghan	345 Mountain Brow Rd. W Burlington ON L7P 5A3	2018/08/29	1,200.00	
Vito Gallucci	1358 Old York Rd. Burlington ON L7P 4Z5	2018/08/29	1,200.00	
See supplementary list			3,950.00	
<input checked="" type="checkbox"/> Additional information is listed on separate supplementary attachment			Total	10,300.00

Financial Statement – Auditor’s Report
Candidate – Form 4
Schedule 1 Part II Table 1
Supplementary attachment

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor Or Paid to Clerk
William Deluca	230 Flatt Rd. Burlington ON L7P 0T3	2018/08/20	200.00	-
Douglas Leggat	PO Box 369 Stn LCD 1 Burlington, ON L7R 3Y3	2018/09/18	1,000.00	-
Bev Taylor	921 Greenhouse Place Burlington ON L7T 4K4	2018/09/10	100.00	-
Ron Foxcroft	1206 Appleford Lane Burlington ON L7P 3M2	2018/09/20	250.00	-
Angelo Paletta	4450 Paletta Court Burlington ON L7L 5R2	2018/10/21	1,200.00	-
Michael Paletta	4450 Paletta Court Burlington ON L7L 5R2	2018/07/30	<u>1,200.00</u>	-
Total carried forward to Schedule 1 Part II Table 1			<u>\$3,950.00</u>	

Table 2: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$

Additional information is listed on separate supplementary attachment

Total

Total for Part II - Contributions exceeding \$100 per contributor
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions)

\$ 10,300.00 1B

Part III – Contributions from candidate or spouse

Table 3: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$

Additional information is listed on separate supplementary attachment

Total

Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				Total

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

\$ _____ 2A
X _____ 2B

Total Part I (2A X 2B) (include in Part 1 of Schedule 1)

= \$ _____

Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
4. _____ + \$ _____
5. _____ + \$ _____

Total Part II (include in Part 1 of Schedule 1)

= \$ _____

Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
4. _____ + \$ _____
5. _____ + \$ _____

Total Part III (include under Income in Box C)

= \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
4. _____ + \$ _____
5. _____ + \$ _____
6. _____ + \$ _____
7. _____ + \$ _____
8. _____ + \$ _____

Total Part IV Expenses (include under Expenses in Box C)

= \$ _____

Auditor's Report*Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Chartered Professional Accountant (CPA), Chartered Accountant (CA)

Municipality Hamilton	Date (yyyy/mm/dd) 2019/03/22
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Contact Information

Last Name or Single Name Bradley	Given Name(s) Philip	Licence Number 15573
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Address

Suite/Unit No.	Street No. 42	Street Name Charterhouse Crescent
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Municipality Ancaster	Province Ontario	Postal Code L9G 4E5
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Telephone No. (including area code) 905 304-3675	Email Address pbradley@nas.net
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The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.



Philip J. Bradley,
Chartered Professional
Accountant

Philip J. Bradley, CPA, CA

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Ancaster, Ontario L9G 4E5

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INDEPENDENT AUDITOR'S REPORT

MUNICIPAL ELECTIONS ACT, 1996 (SECTION 88)

To: Arlene Iantomasi and Angela Morgan, City Clerk, City of Burlington

I have audited the Financial Statement (Form 4) comprised of the Statement of Campaign Income and Expenses, the Calculation of Surplus or Deficit, Schedule 1 – Contributions and Schedule 2 – Fundraising Events and Activities of Arlene Iantomasi, candidate, for the campaign period from June 6, 2018 to December 31, 2018 relating to the election held on October 22, 2018. The Financial Statement reports Total Campaign Income of \$17,369.91 and Total Campaign Expenses of \$17,369.91.

Qualified Opinion

In my opinion, except for the possible effects of the matter described in the *Basis for Qualified Opinion* section of my auditor's report, this financial statement presents fairly, in all material respects, the income and expenses for the campaign period from June 6, 2018 to December 31, 2018 and the determination of surplus or deficit in accordance with the financial reporting provisions under section 88 of the Municipal Elections Act, 1996 (the "Act").

Basis of Qualification

Due to the nature of the types of transactions inherent in an election campaign, it is impracticable through auditing procedures to determine that the accounting records include all donations of goods and services, and receipts and disbursements. Accordingly, my verification of these transactions was limited to ensuring that the financial statement reflects the amounts recorded in the accounting records of Arlene Iantomasi, candidate, in accordance with the accounting procedures established by the Municipal Elections Act, 1996 and I was not able to determine whether any adjustments might be necessary to income and expenses and surplus/deficit. The Municipal Elections Act, 1996 does not require me to report, nor was it practicable for me to determine, that contributions reported include only those which may be properly retained in accordance with the provisions of the Municipal Elections Act, 1996.

I conducted my audit in accordance with Canadian generally accepted auditing standards. My responsibilities under those standards are further described in the *Auditor's Responsibilities for the Audit of the Financial Statements* section of my report. I am independent of the candidate in accordance with the ethical requirements that are relevant to my audit of the financial statement in Canada, and I have fulfilled my other ethical responsibilities in accordance with these requirements. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my qualified opinion.

Other matter – Restriction on use

My report is intended solely for the candidate and the City of Burlington and should not be used by any other parties.

Responsibilities of the candidate

The candidate is responsible for the preparation and fair presentation of the financial statement in accordance with the provisions of the Municipal Elections Act, 1996 and for such internal control as the candidate determines is necessary to enable the preparation of a financial statement that is free from material misstatement, whether due to fraud or error.

Auditor's Responsibility for the audit of financial statements

My objectives are to obtain reasonable assurance about whether the campaign financial statement is free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of this campaign financial statement.

As part of an audit in accordance with Canadian generally accepted auditing standards, I exercise professional judgment and maintain professional skepticism throughout the audit. I also:

- Identify and assess the risks of material misstatement of the campaign financial statement, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for my opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the campaign's internal control.

Hamilton, Ontario
March 22, 2019



Chartered Professional Accountant
Licensed Public Accountant
License # 15573